

# On Whitney numbers of the first and second kind, or is it the other way around?

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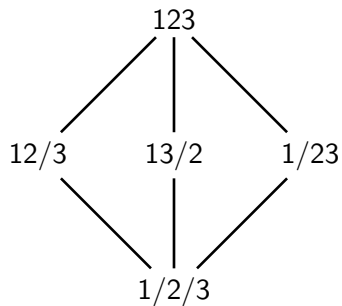
July 4, 2023

Joint work with [Josh Hallam](#), [Yeison Quiceno](#), [Michelle Wachs](#).

# The usual suspects

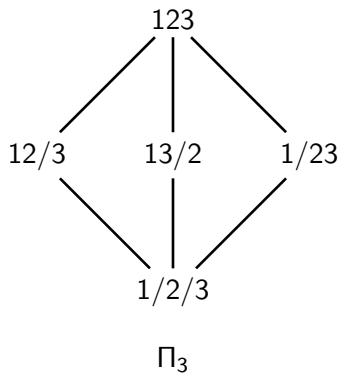
# Posets!

## Example of a poset



$\Pi_3$

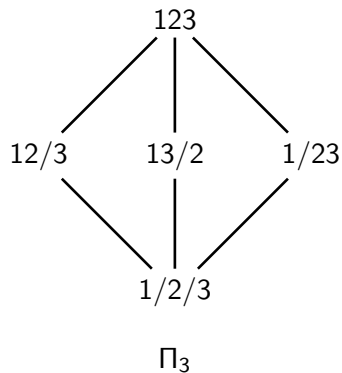
## Example of a poset



Terminology: bottom, pure or graded

# The Möbius function

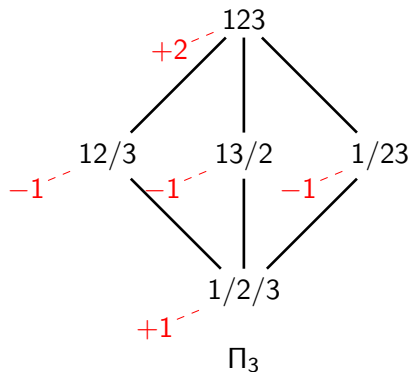
# The Möbius function



Recursive definition:

$$\begin{aligned}\mu(\hat{0}, \hat{0}) &= 1 \\ \sum_{\hat{0} \leq z \leq x} \mu(\hat{0}, z) &= 0 \text{ for } x > \hat{0}\end{aligned}$$

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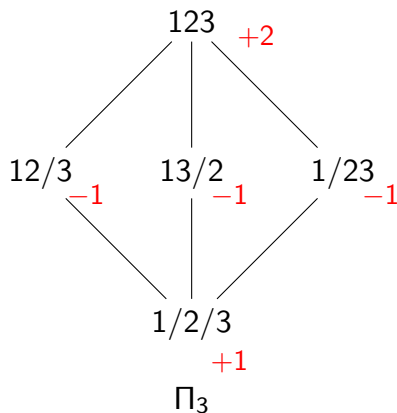


# Whitney numbers

# The Whitney Numbers

The  $k^{\text{th}}$ -Whitney number of the first kind of  $P$  is defined by

$$w_k(P) = \sum_{\rho(x)=k} \mu(\hat{0}, x)$$



$$w_2(\Pi_3) = 2$$

$$w_1(\Pi_3) = -3$$

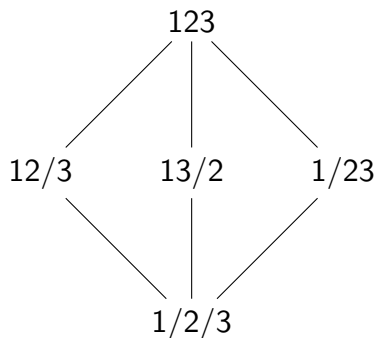
$$w_0(\Pi_3) = 1$$

# A simpler set of Whitney numbers

# The Whitney Numbers

The  $k^{\text{th}}$ -Whitney number of the second kind of  $P$  is defined by

$$W_k(P) = |\{x \in P \mid \rho(x) = k\}|.$$



$\Pi_3$

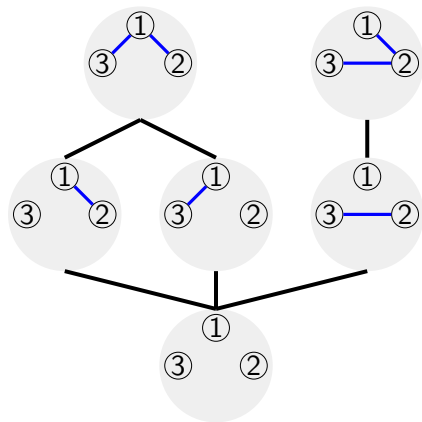
$$W_2(\Pi_3) = 1$$

$$W_1(\Pi_3) = 3$$

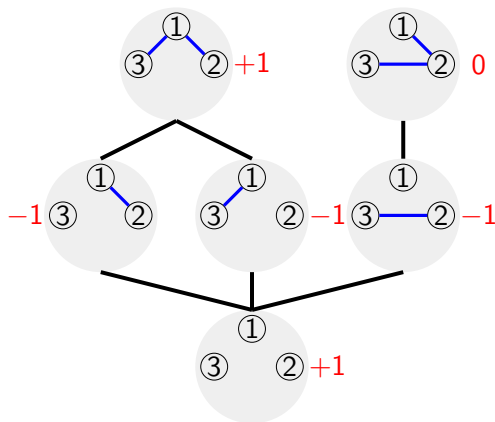
$$W_0(\Pi_3) = 1$$

# Playing this game in another poset

$ISF_n$



$ISF_3$



$$w_2(ISF_3) = 1$$

$$W_2(ISF_3) = 2$$

$$w_1(ISF_3) = -3$$

$$W_1(ISF_3) = 3$$

$$w_0(ISF_3) = 1$$

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$ISF_3$

# Whitney Numbers of $\Pi_3$ and $ISF_3$

Compare the Whitney numbers of  $\Pi_3$  and  $ISF_3$ .

$k$	$w_k(\Pi_3)$	$W_k(\Pi_3)$	$w_k(ISF_3)$	$W_k(ISF_3)$
0	1	1	1	1
1	-3	3	-3	3
2	2	1	1	2



# Whitney duals

Let  $P$  and  $Q$  be graded posets both with  $\hat{0}$ s. We say that  $P$  and  $Q$  are **Whitney duals** if for all  $k$ ,

$$|w_k(P)| = W_k(Q) \text{ and } |w_k(Q)| = W_k(P).$$

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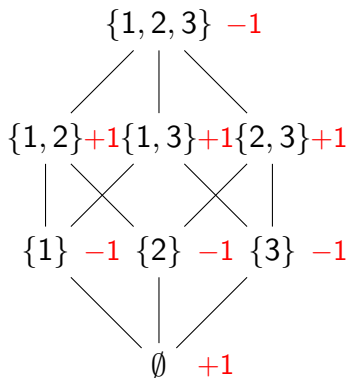
$$|w_k(P)| = W_k(Q) \text{ and } |w_k(Q)| = W_k(P).$$

$k$	$ w_k(\Pi_3) $	$W_k(\mathcal{ISF}_3)$	$ w_k(\mathcal{ISF}_3) $	$W_k(\Pi_3)$
0	1	1	1	1
1	3	3	3	3
2	2	2	1	1

Thus the table above shows that  $\Pi_3$  and  $\mathcal{ISF}_3$  are Whitney duals. In fact,  $\Pi_n$  and  $\mathcal{ISF}_n$  are Whitney duals for all  $n$ .

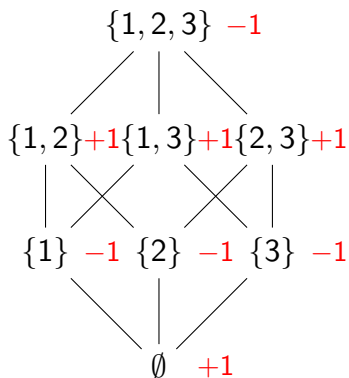
What posets have Whitney  
duals?

## What posets have Whitney duals?



The boolean algebra is its own Whitney dual.

# What posets have Whitney duals?



The boolean algebra is its own Whitney dual.

More generally, in any Eulerian poset,

$$\mu(x, y) = (-1)^{\rho(y) - \rho(x)}$$

for all  $x$  and so  $|w_k(P)| = W_k(P)$  for all  $k$ . Thus, all Eulerian posets have Whitney duals, namely themselves.

# What posets have Whitney duals?

There are also posets which do not have Whitney duals.

3	0	$w_2(P) = 0$
		$W_2(P) = 1$
2	-1	$w_1(P) = -1$
		$W_1(P) = 1$
1	+1	$w_0(P) = 1$
$P$		$W_0(P) = 1$

# Constructing Whitney duals

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There are two main ingredients we use to construct Whitney duals.

1. Edge labelings
2. Quotient posets

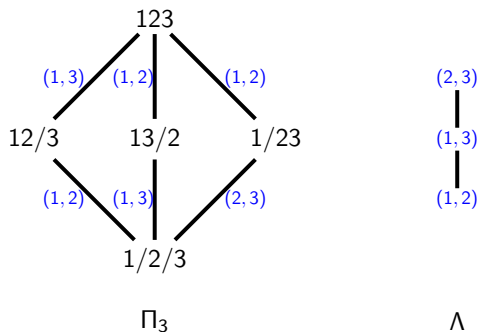


## Edge labelings and Whitney numbers

An **edge labeling**  $\lambda : \mathcal{E}(P) \rightarrow \Lambda$  of a poset  $P$ , is a labeling of the set  $\mathcal{E}(P)$  of edges of the Hasse diagram of  $P$  where the set of labels  $\Lambda$  is a partial order.

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## Edge labelings and Whitney numbers

Given an edge labeling, we say a saturated chain  $x_1 \triangleleft x_2 \triangleleft \cdots \triangleleft x_k$  is **increasing** if

$$\lambda(x_1, x_2) < \lambda(x_2, x_3) < \cdots < \lambda(x_{k-1}, x_k).$$

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Similarly, we say the chain is **ascent-free** if

$$\lambda(x_1, x_2) \not< \lambda(x_2, x_3) \not< \cdots \not< \lambda(x_{k-1}, x_k).$$

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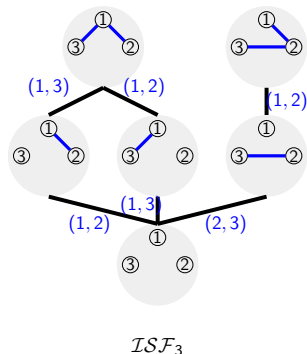
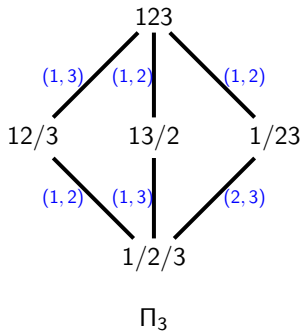
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Similarly, we say the chain is **ascent-free** if

$$\lambda(x_1, x_2) \not< \lambda(x_2, x_3) \not< \cdots \not< \lambda(x_{k-1}, x_k).$$

We say  $\lambda$  is an **ER-labeling** if every interval has a unique increasing maximal chain. On the other hand, we say  $\lambda$  is an **ER\*-labeling** if every interval has a unique ascent-free maximal chain.

# Edge labelings and Whitney numbers



The labeling on  $\Pi_3$  is an ER-labeling (unique maximal increasing chain in each interval) and the labeling on  $ISF_3$  is an ER\*-labeling (unique maximal ascent-free chain in each interval).

# Edge labelings and Whitney numbers

## Theorem (Stanley)

Let  $P$  be a graded poset with an ER-labeling. Then

$$\mu(x, y) = (-1)^{\rho(y) - \rho(x)} |\{\mathbf{c} \mid \mathbf{c} \text{ is an ascent-free maximal chain in } [x, y]\}|.$$



# Edge labelings and Whitney numbers

## Theorem (Stanley)

Let  $P$  be a graded poset with an  $ER$ -labeling ( $ER^*$ -labeling). Then

$$\mu(x, y) = (-1)^{\rho(y) - \rho(x)} |\{\mathbf{c} \mid \mathbf{c} \text{ is an ascent-free (increasing) maximal chain in } [x, y]\}|.$$

# Edge labelings and Whitney numbers

We can summarize the implications of this result with respect to Whitney numbers in the following table.

	$ w_k(P) $	$W_k(P)$
$\lambda$ is an ER-labeling	# (ascent-free sat. chains of length $k$ starting at $\hat{0}$ )	# (increasing sat. chains of length $k$ starting at $\hat{0}$ )
$\lambda$ is an ER*-labeling	# (increasing sat. chains of length $k$ starting at $\hat{0}$ )	# (ascent-free sat. chains of length $k$ starting at $\hat{0}$ )

# Whitney labelings

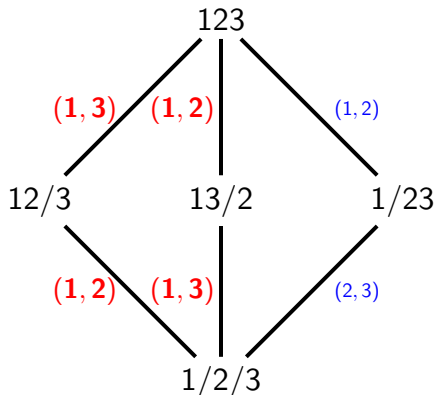
## Definition

We say that an edge labeling  $\lambda$  is an **EW-labeling** if the following hold:

1.  $\lambda$  is an ER-labeling
2.  $\lambda$  satisfy the **rank two switching property**.
3. **An injectivity condition**

## Edge labelings and Whitney numbers

Let  $\lambda$  be an ER-labeling of  $P$ . We say  $\lambda$  has the **rank two switching property** if for every interval  $[x, y]$  with  $\rho(y) - \rho(x) = 2$ , if the unique increasing word is  $ab$ , then there is a unique maximal chain in  $[x, y]$  labeled  $ba$ .



# Whitney labelings and Whitney duals

Theorem (González D'León-Hallam, (2018))

*Let  $P$  be a graded poset with a  $\hat{0}$  and  $\lambda$  an *EW-labeling* of  $P$ .  
Then  $P$  has a Whitney dual.*

# Whitney labelings and Whitney duals

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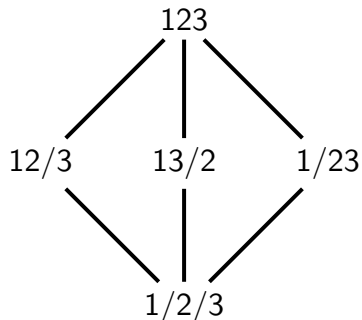
*Moreover, we can construct a particular Whitney dual  $Q_\lambda(P)$  that depends on  $\lambda$ .*

How is the construction?

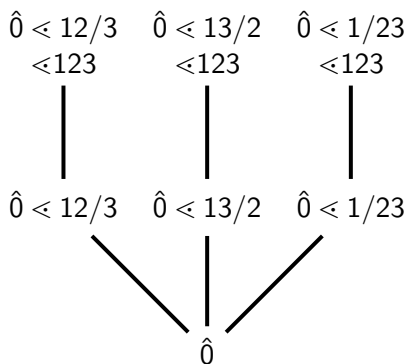


# Chain Posets

The **chain poset**  $C(P)$  is the set of saturated chains starting at  $\hat{0}$  ordered by inclusion.

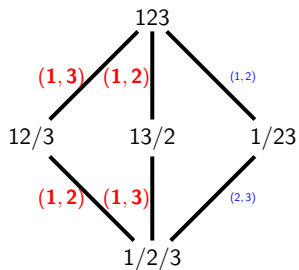


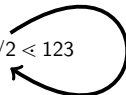
$\Pi_3$

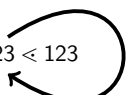


$C(\Pi_3)$

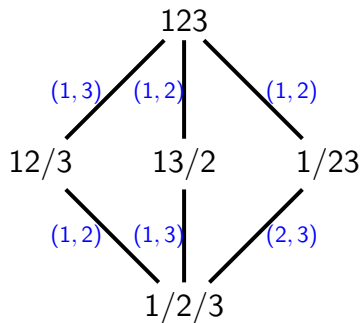
# Quadratic exchange



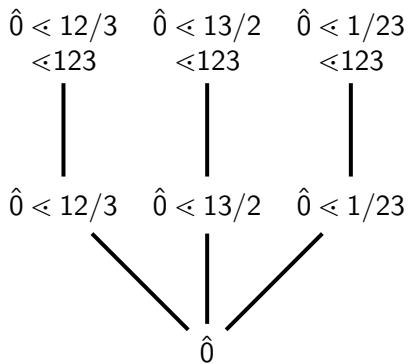
$$1/2/3 \triangleleft 12/3 \triangleleft 123 \xrightarrow{U_1} 1/2/3 \triangleleft 13/2 \triangleleft 123$$


$$1/2/3 \triangleleft 1/23 \triangleleft 123$$


# An example of the construction

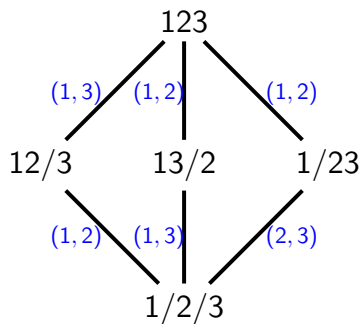


$\Pi_3$

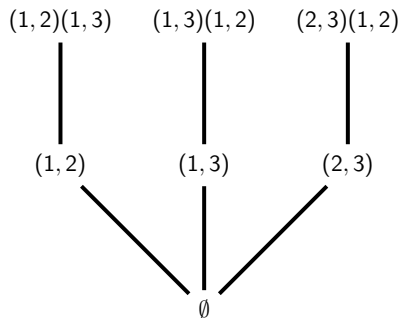


$C(\Pi_3)$

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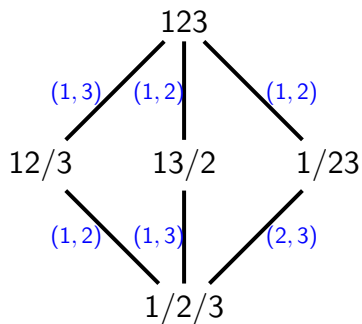


$\Pi_3$

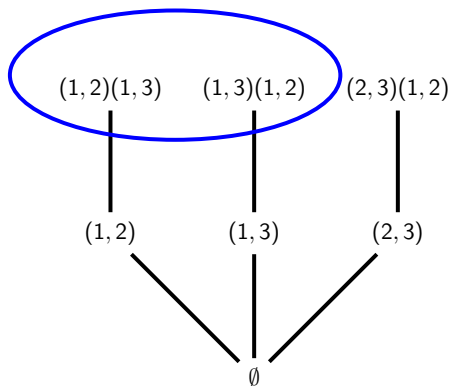


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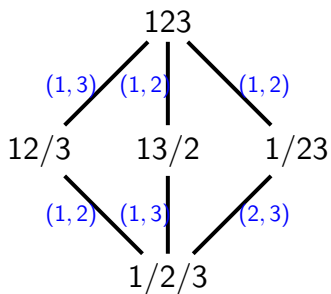


$\Pi_3$

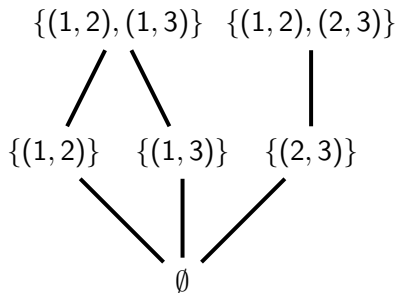


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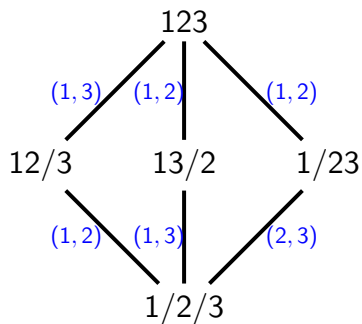


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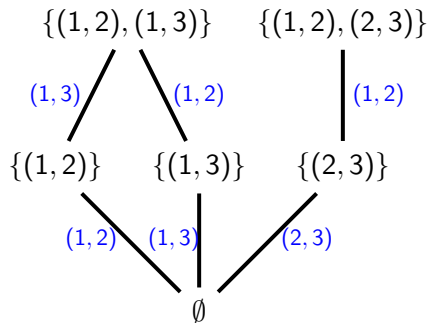


$Q_\lambda(\Pi_3)$

# Labeling on $Q_\lambda(P)$

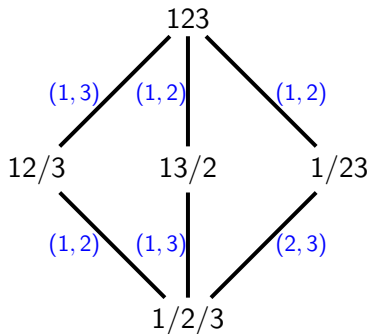


$\Pi_3$

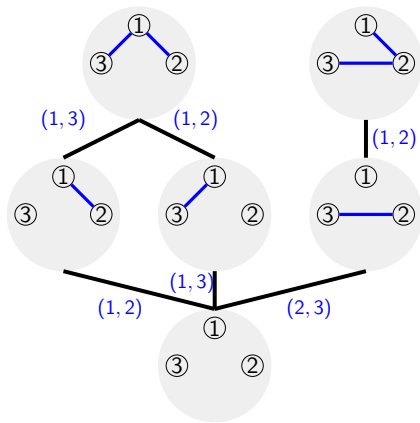


$Q_\lambda(\Pi_3)$

# The dual $Q_\lambda(P)$



$\Pi_3$



$Q_\lambda(\Pi_3)$



# Main result

Theorem (González D'León - Hallam (2018))

Let  $P$  be a graded poset with a  $\hat{0}$  and  $\lambda$  an *EW-labeling* of  $P$ .  
Then  $P$  has a Whitney dual  $Q_\lambda(P)$ .

# Examples!

# Geometric Lattices

## Definition (Stanley)

Let  $L$  be a geometric lattice and let  $\preceq$  be a total order on the atoms of  $L$ . Define  $\lambda(x \triangleleft y) = a$  to be the smallest (with respect to  $\preceq$ ) atom such that  $a \vee x = y$ .

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*Stanley's labeling of geometric lattices is an EW-labeling and hence every geometric lattice has a Whitney dual.*

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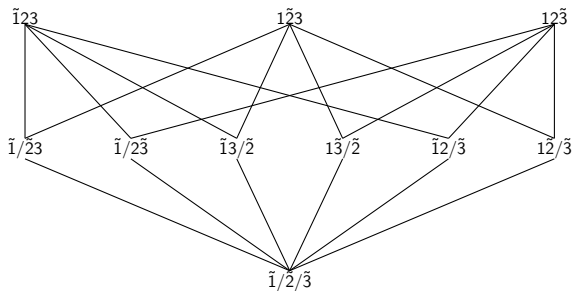
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**Moral: Geometric lattices are Whitney dualizable.**

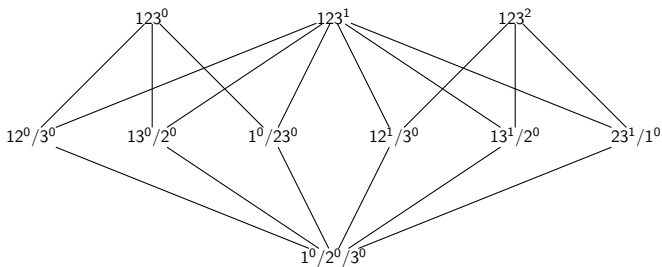
# A pair of operadic examples

# Posets of pointed and weighted partitions

$\Pi_n^\bullet$



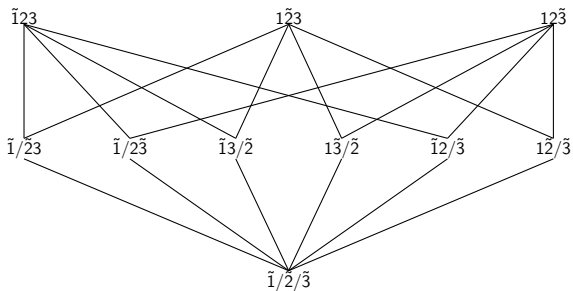
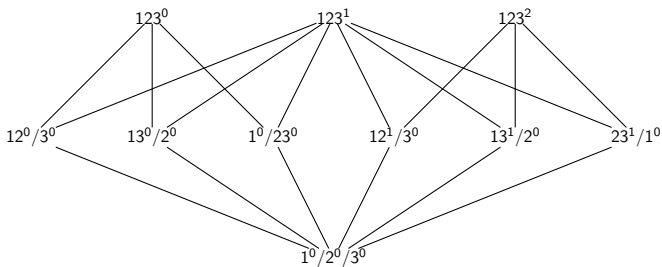
$\Pi_n^w$



# Posets of pointed and weighted partitions

 $\Pi_n^\bullet$ 

D.L. Reiner (1977)


 $\Pi_n^w$ 




# Posets of pointed and weighted partitions

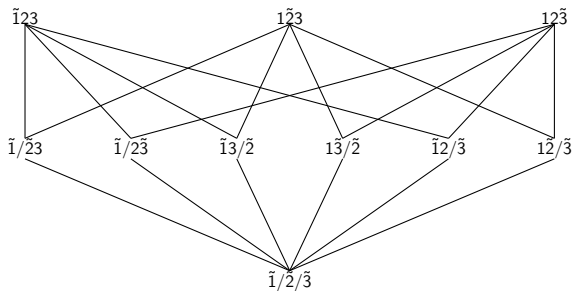
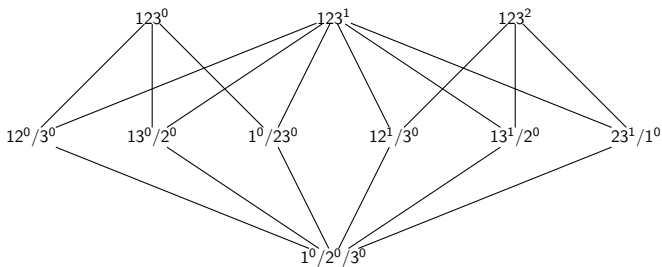
 $\Pi_n^\bullet$ 

D.L. Reiner (1977)

Chapoton-Vallette

(2006)

Operad  $\mathcal{P}erm$


 $\Pi_n^w$ 


# Posets of pointed and weighted partitions

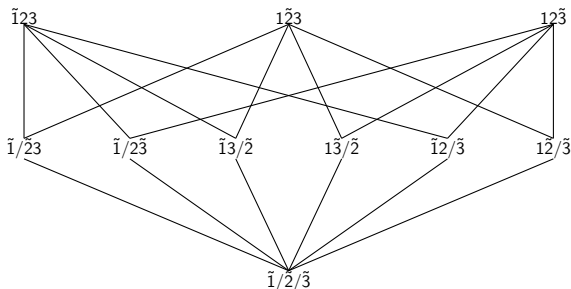
 $\Pi_n^\bullet$ 

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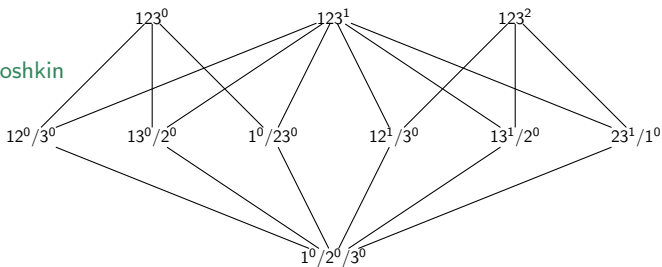
Operad  $\mathcal{P}erm$


 $\Pi_n^w$ 

Dotsenko-Khoroshkin

(2007)

Operad  ${}^2\mathcal{C}om$



# Posets of pointed and weighted partitions

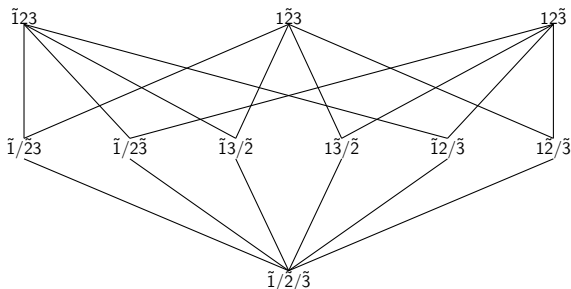
 $\Pi_n^\bullet$ 

D.L. Reiner (1977)

Chapoton-Vallette

(2006)

Operad  $\mathcal{P}erm$


 $\Pi_n^w$ 

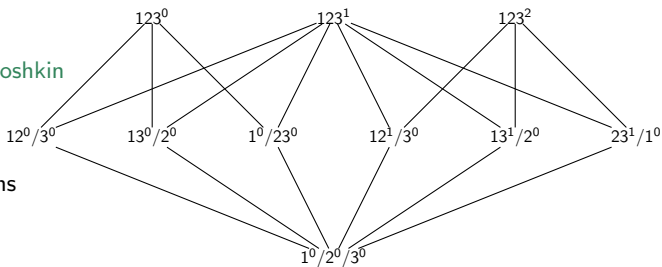
Dotsenko-Khoroshkin

(2007)

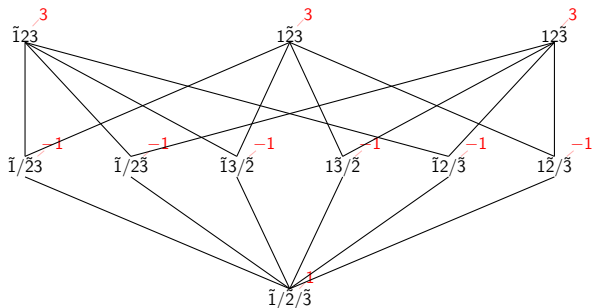
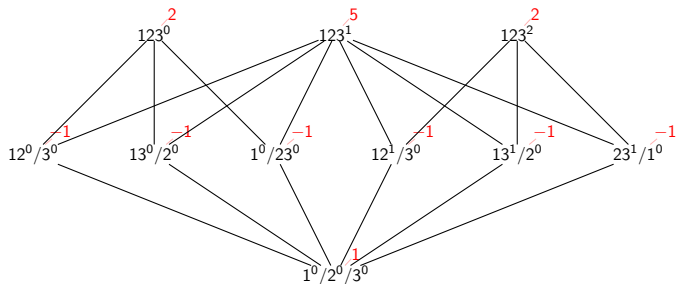
Operad  ${}^2\mathcal{C}om$

G.D'L.- Wachs

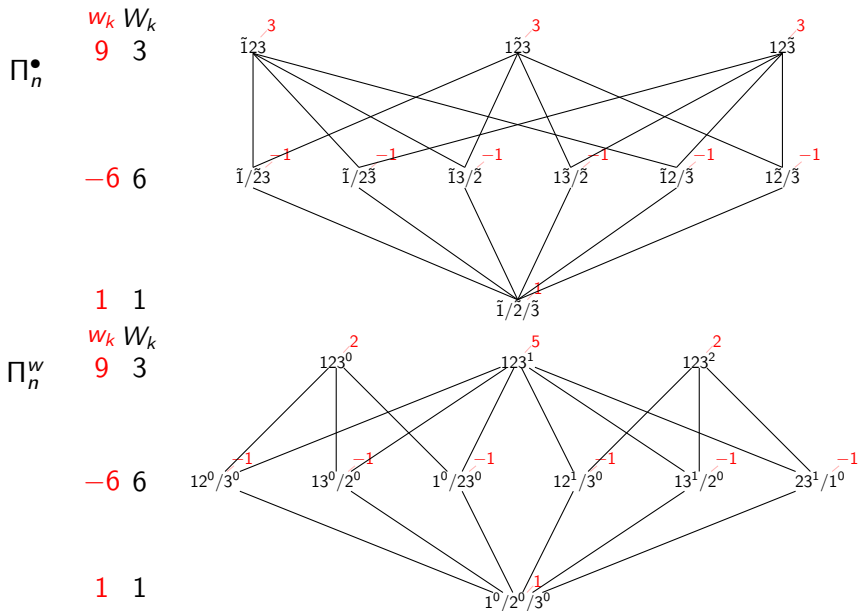
(2014)



# Posets of pointed and weighted partitions

 $\Pi_n^\bullet$ 

 $\Pi_n^w$ 


# Posets of pointed and weighted partitions



# Operadic partition posets

Theorem (G. D'L. - Wachs 2014)

$\Pi_n^\bullet$  and  $\Pi_n^w$  have the same Whitney numbers of first and second kind.

# Operadic partition posets

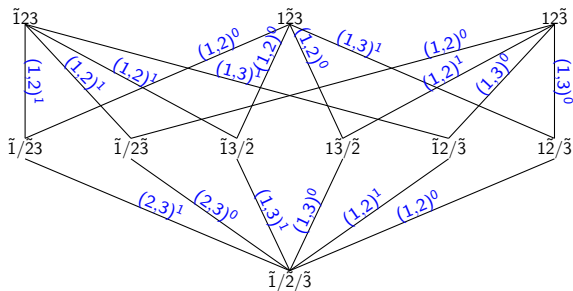
Theorem (G. D'L. - Wachs 2014)

$\Pi_n^\bullet$  and  $\Pi_n^w$  have the same Whitney numbers of first and second kind.

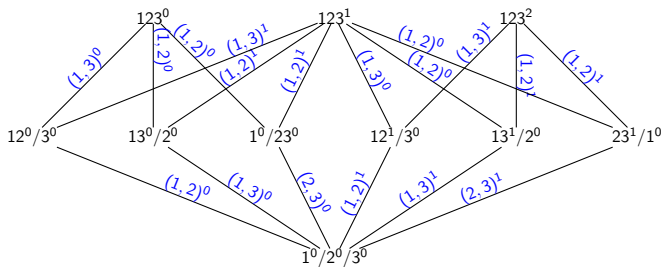
**We call such posets Whitney twins**

# Posets of pointed and weighted partitions

$\Pi_n^\bullet$



$\Pi_n^w$

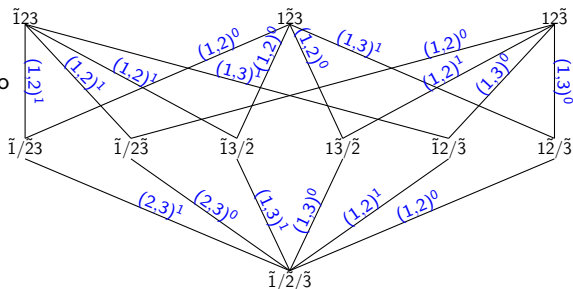
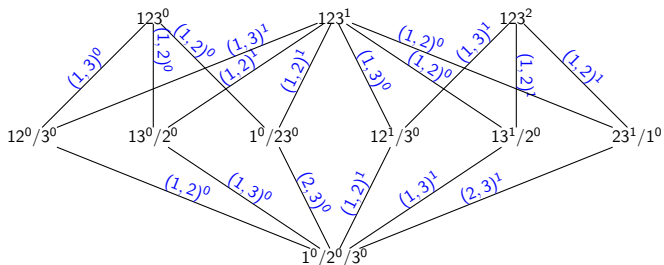




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 $\Pi_n^\bullet$ 

G. D'L-Hallam-Quiceno  
(2023): EW


 $\Pi_n^w$ 


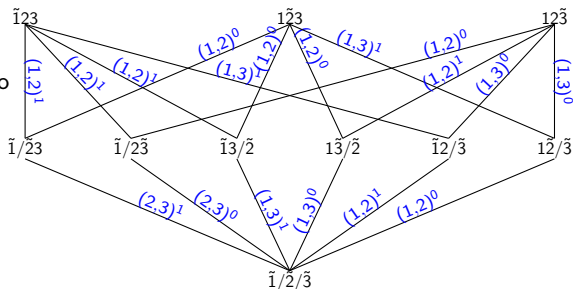
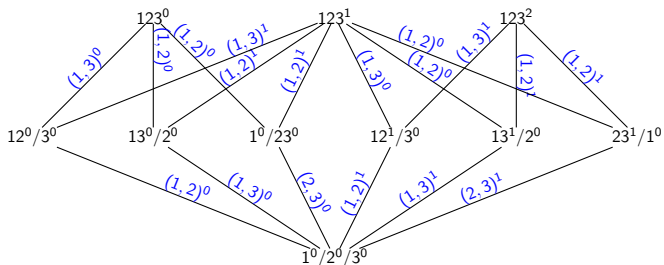
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G. D'L-Hallam-Quiceno

(2023): EW

(2023): dual EL


 $\Pi_n^w$ 


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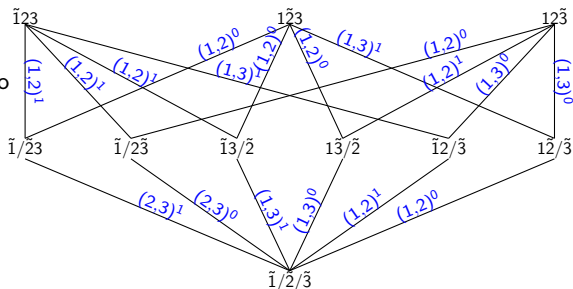
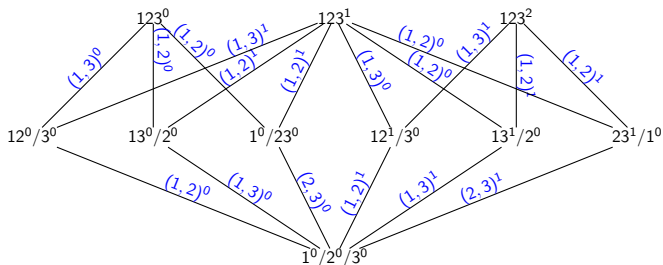
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(2023): dual EL

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 $\Pi_n^w$ 


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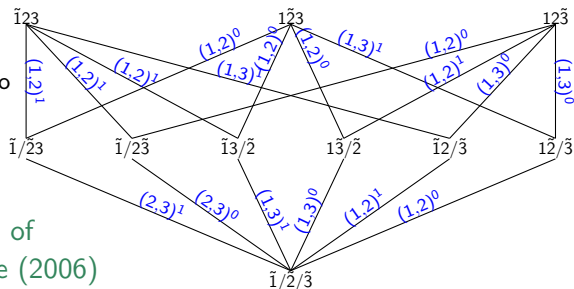
G. D'L-Hallam-Quiceno

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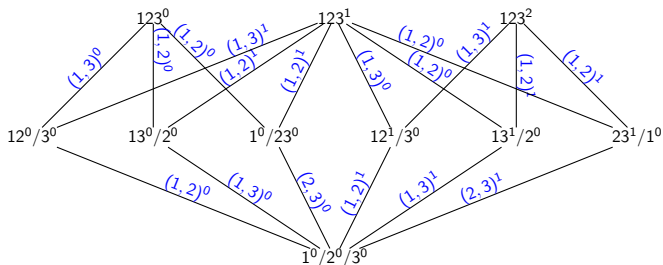
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Answers question of  
Chapoton-Vallette (2006)



$\Pi_n^w$



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$\Pi_n^\bullet$

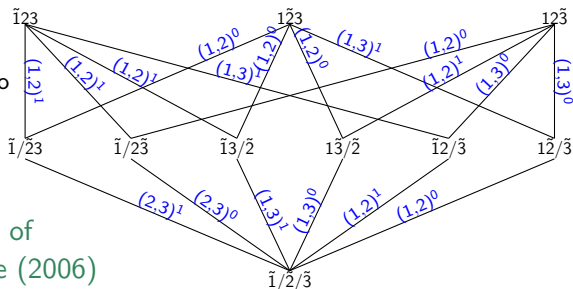
G. D'L-Hallam-Quiceno

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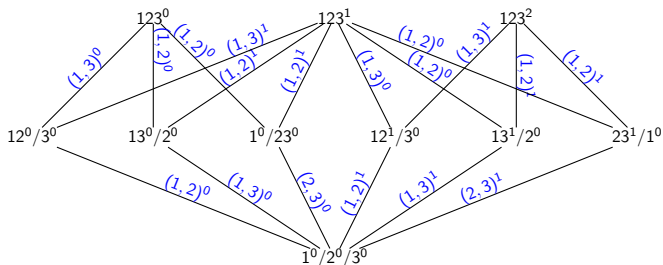
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$\Pi_n^w$

G.D'L-Wachs

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$\Pi_n^\bullet$

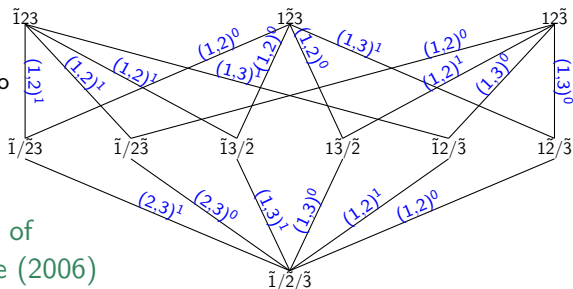
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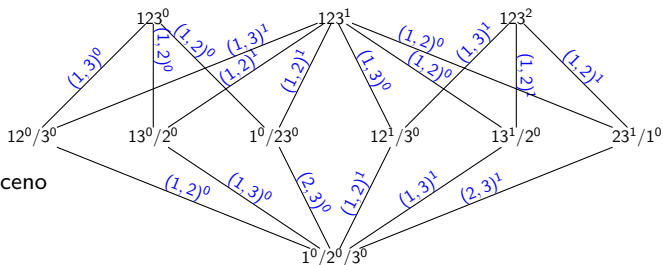
$\Pi_n^w$

G.D'L-Wachs

(2014): EL

G. D'L-Hallam-Quiceno

(2023): EW



Theorem (G. D'L. - Hallam - Quiceno (2023))

*The Whitney duals of  $\Pi_n^\bullet$  and  $\Pi_n^w$  associated to their EW-labelings are not isomorphic for  $n \geq 4$ .*

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**Moral: Whitney duals are not unique.**



## Operadic partition posets: Related results

Theorem (G. D'L. - Hallam - Quiceno (2023), G. D'L.- Wachs (2014))

*The posets  $\Pi_n^\bullet$  and  $\Pi_n^w$  have EL-labelings and hence their maximal intervals are Cohen-Macaulay.*

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*Perm, PreLie,  ${}^2\text{Com}$ , and Lie<sup>2</sup> are Koszul operads.*

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Corollary (G. D'L. - Hallam - Quiceno (2023), G. D'L. - Wachs (2014))

*The ascent-free chains of these EL-labelings give*

- ▶ *Two bases for PreLie,*
- ▶ *A basis for Lie<sup>2</sup>.*

## Theorem (González D'León - Hallam - Quiceno 2023)

*The EL-labelings for  $\Pi_n^\bullet$  and  $\Pi_n^w$  are compatible with isomorphisms according to the definition in Bellier-Millès, Delcroix-Oger, and Hoffbeck (2021).*

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## Corollary (González D'León - Hallam - Quiceno 2023)

*The increasing chains of the EL-labelings give PBW bases for  $\mathcal{P}erm$  and  ${}^2\mathcal{C}om$ .*

Gracias!